

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Walter Günzburg, Dieter Klein, Walter Tabotta and Brian Salmo

Application No.:

09/610,215

Group Art Unit:

1636

Filed:

July 5, 2000

Examiner:

David A. Lambertson

Confirmation No.:

4735

Title:

Reconstituting Retroviral Vector (ReCon Vector) For Targeted Gene

Expression

CERTIFICATE OF MAILING
I hereby certify that this correspondence is being deposited with the
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## REVOCATION OF POWERS OF ATTORNEY AND APPOINTMENT OF NEW ATTORNEYS AND/OR AGENTS

Assistant Commissioner for Patents P.O. Box 2327 Arlington, VA 22202

Sir:

Institut für Virologie Teilrechtsfähiges Institut an der Veterinärmedizinischen Universität Wien, a Corporation duly organized under the laws of Austria, is the assignee of the entire right, title and interest in the above-identified application. Institut für Virologie Teilrechtsfähiges Institut an der Veterinärmedizinischen Universität Wien hereby appoints the attorneys and/or agents associated with:

Customer No. 21003

BakerBotts, LLP

30 Rockefeller Plaza

New York, New York 10112-4498

to prosecute the above-identified application and any divisions or continuations thereof and to conduct all business in the United States Patent and Trademark Office. All previously granted Powers of Attorney are hereby revoked.

Statement under 37 C.F.R. §3.73(b) is submitted herewith.

All correspondence should be sent to Lisa B. Kole, M.D., Ph.D., BakerBotts, LLP, 30 Rockefeller Plaza, New York, New York 10112-4498. Please direct all telephone calls to Lisa B. Kole at (212) 408-2628, and all facsimile communications to (212) 259-2428.

Respectfully submitted,

Institut für Virologie Teilrechtsfähiges Institut an der Veterinärmedizinischen Universität Wien

Hollgang Sit

Date <u>Wednesday</u>, 12 March 2003

Docket No. 22	<b>3</b> 46.200	03-00	IJ
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Applica	ants:	Walter Günzburg, Dieter Klein, Walter Tabotta and Brian Salmons	<u> </u>	_ _ ွ			
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For:		Reconstituting Retroviral Vector (ReCon Vector) for Targeted Gene Expression	당	_			
Applicants: Walter Günzburg, Dieter Klein, Walter Tabotta and Brian Salmons  Application No.: 09/610,215 Filed: July 5, 2000  For: Reconstituting Retroviral Vector (ReCon Vector) for Targeted Gene Expression  Institut für Virologie Teilrechtsfähiges Institut an der Veterinärmedizinischen Universität Wien , a corporation ,							
		(Name of Assignee) (Type of Assignee, e.g. partnership, university, government					
states tl	hat it is						
A.	[X]	the assignee of the entire right, title and interest in the patent application identified above; or					
B.	[]	an assignee together with [ ] of the entire right, title and interest in the patent application is above. A separate Statement under 37 CFR § 3.73(b) is being submitted.	dentific	:d			
The rig	ht, title a	and interest of the above-named assignee in the patent application identified above is established by vir	rtue of	·			
A. [ ]		ignment from the inventor(s) of the patent application identified above. The assignment was recorded ademark Office at Reel, Frame, or a copy thereof is attached.	in the	Patent			
OR							
B. [X] A chain of title from the inventor(s) of the patent application identified above, to the current assignee as shown below:							
	1.	From: Brian Salmons To: Bavarian Nordic Research Institute GmbH The document was recorded in the Patent and Trademark Office at Reel 011373 , Frame 0078-0080 , or a copy thereof is attached.					
	2.	From: Walter Günzburg, Dieter Klein and Walter Tabotta To: Austrian Nordic Biotherapeutics AG The document was recorded in the Patent and Trademark Office at Reel 011373, Frame 0108-0111, or a copy thereof is attached.					
	3.	From: Bavarian Nordic Research Institute A/S To: Austrian Nordic Biotherapeutics AG The document was recorded in the Patent and Trademark Office at Reel 011373 , Frame 0088-0092 , or a copy thereof is attached.					
	4.	From: <u>Bavarian Nordic Research Institute GmbH</u> To: <u>Austrian Nordic Biotherapeutics AG</u> The document was recorded in the Patent and Trademark Office at Reel <u>011607</u> , Frame <u>0248-0253</u> , or a copy thereof is attached.					
	NOTE: Re-Record to Correct the Name of the Conveying Party, Previously Recorded on December 14, 2000, Reel 011373, Frames 0088-0092.						
	5.	From: Austrian Nordic Biotherapeutics AG  To: Institut für Virologie Teilrechtsfähig an der Veterinärmedizinischen Univ					
		A copy thereof is attached.	Cisitat	<u> </u>			
The unc	dersigned	d (whose title is supplied below) is authorized to act on behalf of the assignee.					
Date:	<u>VecIne</u>	eselay, 12 March 2003					
Name:_	Wol	Legang Backer					
Title: Manager 1P and Licensing							
Signature: Alolfgang Scit							

## Docket No.: 2316.2003-000

## RECORDATION FORM COVER SHEET PATENTS ONLY



To the Commissioner, United States Patent and Trademark Office: Please record the attached original documents or copy thereof. 1. Name of conveying party(ies) 2. Name and address of receiving party(ies) Austrian Nordic Biotherapeutics AG Institut für Virologie Teilrechtsfähiges Institut an der Name: Veterinärmedizinischen Universität Wien Internal Address: Additional name(s) of conveying party(ies) attached? [] Yes XINo 3. Nature of conveyance: Street Address: Veterinärplatz 1 Assignment [] Merger [X] Vienna **AUSTRIA** ZIP: A-1210 Security Agreement [ ] Change of Name State: Other Execution Date: February 25, 2003 Additional name(s) & address(es) attached? [ ] Yes Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application is: A. Patent Application No.(s) B. Patent No.(s) 09/610,215 Additional numbers attached? [ ] Yes [X] No Name and address of party to whom correspondence 6. Total number of applications and patents involved: concerning document should be mailed: Name: Anne J. Collins, Esq. Total Fee (37 C.F.R. 3.41)..... \$ 40.00 Internal Address: [X] Enclosed Authorized to charge any deficiencies or credit any [X] Hamilton, Brook, Smith & Reynolds, P.C. overpayment to deposit account Authorized to be charged to deposit account [ ] Street Address: 530 Virginia Road, P.O. Box 9133 8. Deposit account number: 08-0380 City: Concord State: MA 01742-9133 (Attach duplicate copy of this page if paying by deposit account) DO NOT USE THIS SPACE Statement and signature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Anne J. Collins Name of Person Signing Total number of pages including cover sheet, attachments, and document: [2]

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## **ASSIGNMENT**

Whereas, by virtue of an Assignment recorded in the United States Patent and Trademark Office on Reel 011607, Frames 0248-0253 and an Assignment recorded in the United States Patent and Trademark Office on Reel 011373, Frames 0108-0111, Austrian Nordic Biother speutics AG, of Veterinarplatz 1, A-1210 Vienna, AUSTRIA is the owner of United States Patent Application Serial No. 09/610,215, filed July 5, 2000.

Whereas, Institut für Virologie Teilrechtsfähiges Institut an der Veterinärgnedizinischen Universität Wien, an Austrian corporation, of Veterinärplatz 1, A-1210 Vienna, AUSTRIA, is desirous of acquiring from Austrian Nordic Biotherapeutics AG the entire right, title and interest in, to and under the aforesaid Patent Application and the invention therein described and claimed.

Now, therefore, for good and valuable consideration, the receipt of which is hereby acknowledged, Austrian Nordic Biotherapeutics AG hereby sells, transfers and conveys its undire right, title and inferest in, to and under said Patent Application and the invention therein described and claimed to Institut für Virologie Teilrechtsfähiges Institut an der Veter infirmedizinischen Universität Wien to the full end of the term for which Letters Patent may be granted and hereby authorizes and requests the Commissioner of Patents and Trademarks to issue said Letters Patent when granted to Institut für Virologic Teilrechtsfähiges Institut an der Veterinärmedizinischen Universität Wien as the assignee of the entire right, title and interest in, to and under the same.

Assignor further agrees that Assignor will, without demanding any further consideration therefor, at the request but at the expense of Assignee, do all lawful and just acts, including the execution and acknowledgment of instruments, that may be or become necessary for obtaining, sustaining, or reissuing said Letters Patent as may be granted from said Patent Application, and for maintaining and perfecting Assignee's right to said Letters Patent.

Austrian Nordic Biotherapeuties AG

Date January February 25, 2003

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